

# Series lectures of phase-field model

## 11. Eshelby's inclusion theory

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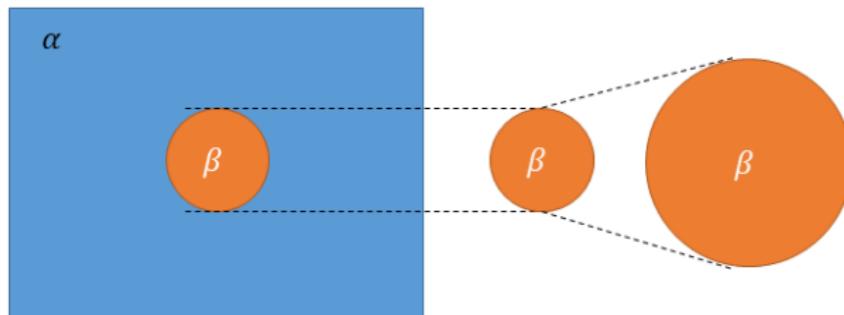
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## 1 Eshelby's inclusion theory

- Eigenstrain
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# Effects of elasticity on Phase transformations

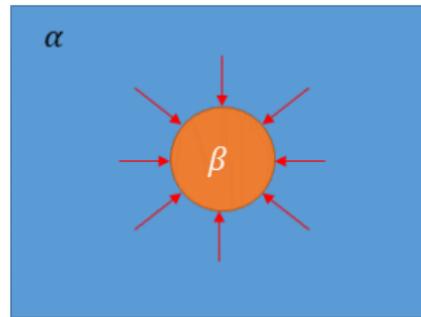
- Source of stress 1: Lattice parameter can be a function of composition: Lattice planes are continuous, no dislocation.
- Source of stress 2: Difference in lattice parameters between two phases: Eigenstrain, misfit strain



- Make  $\beta$  phase lattice parameter match  $\alpha$  - coherent.
- Eigenstrain

$$\varepsilon_{ij} = \frac{a^\beta - a^\alpha}{a^\alpha} \delta_{ij}$$

where  $a^\beta$  is lattice parameter of  $\beta$  phase and  $a^\alpha$  is lattice parameter of  $\alpha$  phase.



# Elastic stress surrounding a coherent spherical precipitate

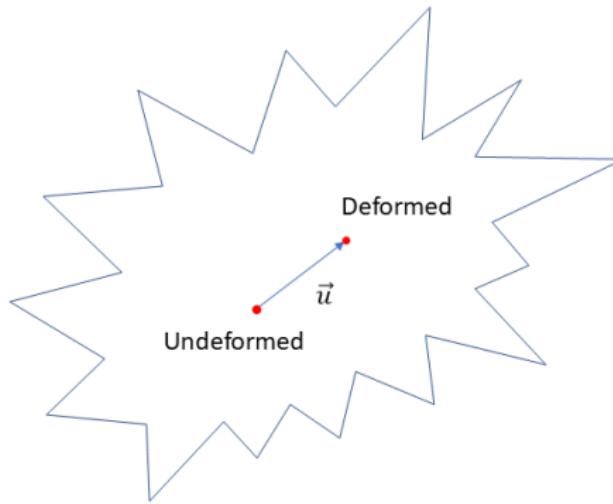
- Coherent lattice
- Dilatational misfit
- Linear isotropic inhomogeneous elasticity
- Inhomogeneous elastic constant of  $\alpha$  and  $\beta$  can be different.
- Spherical particle

# Strain

- Strain is related to the gradients in the displacement

$$\varepsilon_{ij} = \frac{1}{2}(u_{i,j} + u_{j,i}) \quad 3 \times 3 \text{ tensor}$$

$$u_{i,j} = \frac{\partial u_i}{\partial x_j}$$



# Hooke's law

- With 4th-order rank elastic constant

$$\sigma_{ij} = C_{ijkl}\varepsilon_{kl}$$

implicitly means (Einstein notation)

$$\sigma_{ij} = \sum_{k=1}^3 \sum_{l=1}^3 C_{ijkl}\varepsilon_{kl}$$

- For isotropic materials

$$\sigma_{ij} = \lambda\varepsilon_{kk}\delta_{ij} + 2\mu\varepsilon_{ij}$$

where  $\lambda$  is Lame's constant and  $\mu$  is the shear modulus.

# Mechanical equilibrium

- Condition for equilibrium

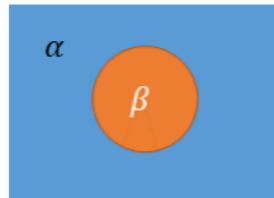
$$\nabla \cdot [\sigma] = 0 \rightarrow \sigma_{ij,j} = 0$$

- For an isotropic material

$$(\lambda + \mu) \nabla (\nabla \cdot \mathbf{u}) + \mu \nabla^2 \mathbf{u} = 0$$

- In spherical coordinates, for spherical particle at  $\alpha - \beta$  interface coherent

$$\mathbf{u}^\alpha(R) = \mathbf{u}^\beta(R)$$



- Force balance at interface

$$\sigma_{rr}^{\beta}(R) - \sigma_{rr}^{\alpha}(R) = -\frac{2f}{R}$$

- For spherical particle and assume the spherical symmetry

$$\mathbf{u} = u\hat{r}$$

then the force balance equation becomes

$$\frac{d^2u}{dr^2} + \frac{2}{r}\frac{du}{dr} - \frac{2u}{r^2} = 0$$

$$\frac{d}{dr} \left[ \frac{1}{r^2} \frac{d(r^2 u)}{dr} \right] = 0 \rightarrow \frac{d(r^2 u)}{dr} = A'r^2$$

$$u = Ar + \frac{B}{r^2}$$

- When  $r \rightarrow 0$ ,  $u$  have to be finite. Therefore,  $B = 0$ .
- When  $r \rightarrow \infty$ ,  $u \rightarrow 0$ . Therefore,  $A = 0$
- Therefore,

$$u^\beta = Ar \quad u^\alpha = \frac{B}{r^2}$$

- According to continuum mechanics,

$$\varepsilon_{rr} = \frac{du}{dr} \quad \varepsilon_{\theta\theta} = \varepsilon_{\phi\phi} = \frac{u}{r}$$

- Eigenstrains, misfit strains

$$\underbrace{\varepsilon_{ij}}_{\text{Total strain compatible}} = \underbrace{\varepsilon_{ij}^e}_{\text{elastic strain}} + \underbrace{\varepsilon_{ij}^o}_{\text{eigenstrain}}$$

- By Hooke's law

$$\sigma_{ij}^\beta = C_{ijkl}^\beta \varepsilon_{ij}^{\beta,e} = C_{ijkl}^\beta (\varepsilon_{ij}^\beta - \varepsilon_{ij}^o)$$

- Assuming no holes or overlap of material

$$\varepsilon_{ij} = \frac{1}{2}(u_{i,j} + u_{j,i})$$

- For isotropic materials and assume  $\varepsilon_{ij}^o = \varepsilon \delta_{ij}$

$$\sigma_{ij}^\beta = \lambda^\beta \varepsilon_{kk}^\beta \delta_{ij} + 2\mu^\beta \varepsilon_{ij}^\beta - 3K^\beta \varepsilon \delta_{ij}$$

where  $K^\beta$  is bulk modulus of  $\beta$  phase.

$$\sigma_{ij}^\alpha = \lambda^\alpha \varepsilon_{kk}^\alpha \delta_{ij} + 2\mu^\alpha \varepsilon_{ij}^\alpha$$

In other notations, we have

$$\sigma^\alpha = \sigma_{11}^\alpha = \sigma_{22}^\alpha = \sigma_{33}^\alpha = (C_{11}^\alpha + 2C_{12}^\alpha) \varepsilon^\alpha \quad (1)$$

where

$$\varepsilon^\alpha = \varepsilon_{11}^\alpha = \varepsilon_{22}^\alpha = \varepsilon_{33}^\alpha$$

- Note that

$$C_{11} = \lambda + 2\mu \quad C_{12} = \lambda$$

- Inside precipitate,

$$\begin{aligned}
 \sigma_{rr}^\beta &= \lambda^\beta [\varepsilon_{rr}^\beta + \varepsilon_{\theta\theta}^\beta + \varepsilon_{\phi\phi}^\beta] + 2\mu^\beta \varepsilon_{rr}^\beta - 3K^\beta \varepsilon \\
 &= \lambda^\beta \left[ \frac{du^\beta}{dr} + \frac{2u^\beta}{r} \right] + 2\mu^\beta \frac{du^\beta}{dr} - 3K^\beta \varepsilon \\
 &= \lambda^\beta [A + 2A] + 2\mu^\beta \times A - 3K^\beta \varepsilon \\
 &= 3K^\beta (A - \varepsilon)
 \end{aligned}$$

where

$$K^\beta = \frac{3\lambda^\beta + 2\mu^\beta}{3}$$

- For matrix

$$\begin{aligned}
 \sigma_{rr}^\alpha &= \lambda^\alpha \left[ \frac{du^\alpha}{dr} + \frac{2u^\alpha}{r} \right] + 2\mu^\alpha \frac{du^\alpha}{dr} \\
 &= \lambda^\alpha \left[ -\frac{2B}{r^3} + \frac{2B}{r^3} \right] - \frac{4\mu^\alpha B}{r^3} = -\frac{4\mu^\alpha B}{r^3}
 \end{aligned}$$

- At interface

$$\sigma_{rr}^{\alpha}(R) = -\frac{4\mu^{\alpha}B}{R^3}$$

- Apply force balance at interface

$$\sigma_{rr}^{\beta}(R) - \sigma_{rr}^{\alpha}(R) = \frac{4\mu^{\alpha}B}{R^3} + 3K^{\beta}(A - \varepsilon) = -\frac{2f}{R}$$

- Displacement condition

$$u^{\alpha}(R) = u^{\beta}(R) \rightarrow AR = \frac{B}{R^2} \rightarrow B = AR^3$$

- We have

$$A = \frac{3K^{\beta}\varepsilon - 2f/R}{3K^{\beta} + 4\mu^{\alpha}}$$

# Evaluating stress and strain

- Inside the particle

$$\varepsilon_{rr}^{\beta} = \varepsilon_{\theta\theta}^{\beta} = \varepsilon_{\phi\phi}^{\beta} = A$$

$$\sigma_{rr}^{\beta} = \sigma_{\theta\theta}^{\beta} = \sigma_{\phi\phi}^{\beta} = 3K^{\beta}(A - \varepsilon)$$

where

$$\varepsilon = \frac{1}{3} \frac{V_m^{\beta} - V_m^{\alpha}}{V_m^{\alpha}}$$

- For matrix,

$$\varepsilon_{rr}^{\alpha} = \frac{du}{dr} = -\frac{2B}{r^3} \quad \varepsilon_{\theta\theta}^{\alpha} = \varepsilon_{\phi\phi}^{\alpha} = \frac{B}{r^3}$$

$$\sigma_{rr}^{\alpha} = -\frac{4B\mu^{\alpha}}{r^3} \quad \sigma_{\theta\theta}^{\alpha} = \sigma_{\phi\phi}^{\alpha} = 2\mu^{\alpha} \left( \frac{B}{r^3} \right)$$

- Note that

$$\sigma_{rr}^{\alpha} + \sigma_{\theta\theta}^{\alpha} + \sigma_{\phi\phi}^{\alpha} = 0$$

# Stress in precipitate

- Stress field and strain field are constants.
- Pure hydrostatic pressure.
- Assume no surface stress  $f = 0$ ,

$$A = \frac{3K^\beta \varepsilon}{3K^\beta + 4\mu^\alpha} \quad \sigma_{rr}^\beta = \frac{-4\mu^\alpha \varepsilon}{3K^\beta + 4\mu^\alpha}$$

- For case  $\varepsilon > 0 \rightarrow \sigma_{rr} < 0$ , it means particle is under compression.  
 $(V_m^\beta > V_m^\alpha)$
- For the case of  $f \neq 0$  and  $\varepsilon = 0$

$$A = \frac{1}{3K^\beta} \left( -\frac{2f}{R} \right) \quad \sigma_{rr}^\beta = \sigma_{\theta\theta}^\beta = \sigma_{\phi\phi}^\beta = -\frac{2f}{R}$$

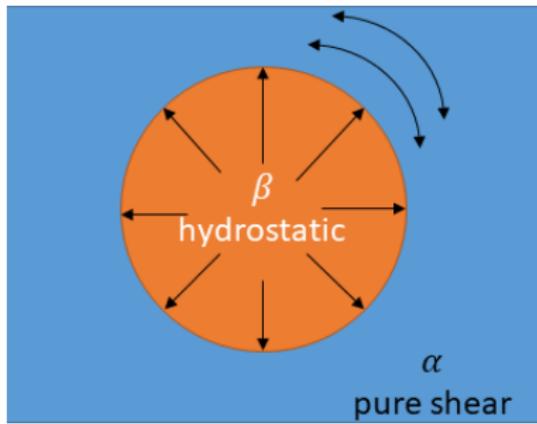
The pressure inside the particle

$$P^\beta = -\frac{1}{3}\sigma_{kk} = \frac{2f}{R}$$

# Stress in matrix phase

- Pure shear stress

$$\sigma_{kk} = 0$$



# Stress at interface

